

**U.S. Bankruptcy Court
California Northern Bankruptcy Court (San Francisco)
Bankruptcy Petition #: 19-30088**

Assigned to: Judge Dennis Montali
Chapter 11
Voluntary
Asset

Date filed: 01/29/2019
Plan confirmed: 06/20/2020
341 meeting: 04/29/2019
Deadline for filing claims: 10/21/2019
Deadline for filing claims (govt.): 10/21/2019

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TERMINATED: 11/12/2019

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Filing Date	#		Docket Text
05/06/2022		<u>12333</u>	Appellant Designation of Contents For Inclusion in Record On Appeal <i>Reorganized Debtors' Statement of Issues and Designation of Record on Appeal</i> (RE: related document(s) <u>12209</u> Notice of Appeal and Statement of Election filed by Debtor PG&E Corporation). Appellee designation due by 05/23/2022. Filed by Debtor PG&E Corporation (Rupp, Thomas) (Entered: 05/06/2022)
05/20/2022		<u>12435</u>	Appellee Designation of Contents for Inclusion in Record of Appeal (RE: related document(s) <u>12209</u> Notice of Appeal and Statement of Election filed by Debtor PG&E Corporation, <u>12311</u> Notice of Appeal and Statement of Election filed by Debtor PG&E Corporation). (Attachments: # <u>1</u> Certificate of Service) Filed by Creditor DRRT Claimants (Meyer, Brent) (Entered: 05/20/2022)

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Attorneys for Debtors and Reorganized Debtors

**UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

In re:

PG&E CORPORATION,

- and -

**PACIFIC GAS AND ELECTRIC
COMPANY,**

Debtors.

- ☐ Affects PG&E Corporation
☐ Affects Pacific Gas and Electric Company
☒ Affects both Debtors

** All papers shall be filed in the Lead Case,
No. 19-30088 (DM).*

Bankruptcy Case No. 19-30088 (DM)

Chapter 11

(Lead Case) (Jointly Administered)

**REORGANIZED DEBTORS' STATEMENT OF
ISSUES AND DESIGNATION OF RECORD ON
APPEAL**

[Re: **Dkt. No. 12209**]

Pursuant to Rule 8009(a) of the **Federal Rules of Bankruptcy Procedure** (the “**Bankruptcy Rules**”), PG&E Corporation and Pacific Gas and Electric Company, as reorganized debtors (collectively, the “**Debtors**” or the “**Reorganized Debtors**,” as applicable) in the above-captioned chapter 11 cases (the “**Chapter 11 Cases**”), submit this statement of issues and designation of record on appeal.¹

STATEMENT OF ISSUES ON APPEAL

The issues on appeal are: (i) whether the Bankruptcy Court erred by failing to apply the proper legal test in granting relief under Rule 60(b)(1) of the Federal Rules of Civil Procedure (the “**Civil Rules**”), as made applicable by Bankruptcy Rule 9024, where the movant sought relief under Civil Rule 60(b)(1) only on the basis of “excusable neglect” and the Bankruptcy Court failed to apply or analyze the factors set forth in *Pioneer Investment Services Co. v. Brunswick Associates Ltd. P’ship*, **507 U.S. 380** (1993); (ii) whether the Bankruptcy Court erred by revisiting and considering the merits of the underlying judgment in granting relief under Civil Rule 60(b); and (iii) whether an international law firm’s failure to open its mail and email for months, where the law firm admittedly received service of the objections at the mailing address and email address listed by the law firm on its proofs of claim and also had received notice of the Debtors’ bankruptcy and the procedures therein and therefore knew that the Debtors would be filing objections to proofs of claim, constitutes “excusable neglect” that justifies relief under Civil Rule 60(b)(1).

DESIGNATION OF RECORD ON APPEAL

The Reorganized Debtors hereby designate the following items to be included in the record on appeal, each of which includes any and all exhibits and addenda attached thereto and filed therewith and any and all documents incorporated by reference therein:

Filing Date	Dkt. No.	Item
September 1, 2020	8964	Reorganized Debtors’ Motion to Approve Securities ADR and Related Procedures for Resolving Subordinated Securities Claims

¹ Capitalized terms not otherwise defined herein have the meanings ascribed to them in the *Reorganized Debtors’ Opposition to the DRRT Claimants’ Motion for Reconsideration* [**Dkt. No. 11867**].

Filing Date	Dkt. No.	Item
January 25, 2021	10015	Order Approving Securities ADR and Related Procedures for Resolving Subordinated Securities Claims
August 3, 2021	11014	Reorganized Debtors' Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
August 3, 2021	11015	Declaration of Edward J. Radetich, Jr. in Support of Reorganized Debtors' Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
August 3, 2021	11016	Declaration of Michael A. Keable in Support of Reorganized Debtors' Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
August 3, 2021	11017	Notice of Hearing on Reorganized Debtors' Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
August 6, 2021	11030	Certificate of Service re: Eleventh Securities Claims Omnibus Objection
August 18, 2021	11085	Reorganized Debtors' Thirteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
August 18, 2021	11086	Declaration of Edward J. Radetich, Jr. in Support of Reorganized Debtors' Thirteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
August 18, 2021	11087	Declaration of Michael A. Keable in Support of Reorganized Debtors' Thirteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
August 18, 2021	11088	Notice of Hearing on Reorganized Debtors' Thirteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
August 24, 2021	11140	Certificate of Service re: Thirteenth Securities Claims Omnibus Objection
September 8, 2021	11210	Reorganized Debtors' Amended Report on Responses to Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose) and Request for Order by Default as to Unopposed Objections
September 9, 2021	11216	Order Disallowing and Expunging Proofs of Claim Pursuant to Reorganized Debtors' Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
September 14, 2021	11254	Certificate of Service re: Order Granting Eleventh Securities Claims Omnibus Objection

Filing Date	Dkt. No.	Item
September 22, 2021	11301	Reorganized Debtors' Report on Responses to Thirteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose) and Request for Order by Default as to Unopposed Objections
September 24, 2021	11315	Order Disallowing and Expunging Proofs of Claim Pursuant to Reorganized Debtors' Thirteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
September 30, 2021	11363	Certificate of Service re: Order Granting Thirteenth Securities Claims Omnibus Objection
November 18, 2021	11601	DRRT's Motion Seeking Relief from Orders Disallowing and Expunging Proofs of Claim Pursuant to Reorganized Debtors' Eleventh and Thirteenth Securities Claims Omnibus Objections (Claims Barred by the Statute of Repose)
December 21, 2021	11734	DRRT's Amended Motion for Relief from Orders by Default Disallowing and Expunging Proofs of Claim Pursuant to Reorganized Debtors' Eleventh and Thirteenth Securities Claims Omnibus Objections (Claims Barred by the Statute of Repose)
January 25, 2022	11867	Reorganized Debtors' Opposition to the DRRT Claimants' Motion for Reconsideration
January 25, 2022	11868	Declaration of Herb Baer in Support of Reorganized Debtors' Opposition to the DRRT Claimants' Motion for Reconsideration
February 8, 2022	11911	Reply in Support of Amended Motion for Relief from Orders by Default Disallowing and Expunging Proofs of Claim Pursuant to Reorganized Debtors' Eleventh and Thirteenth Securities Claims Omnibus Objections (Claims Barred by the Statute of Repose)
March 15, 2022	-	Transcript of Proceedings Before the Honorable Dennis Montali, United States Bankruptcy Judge
April 7, 2022	12126	Order Granting DRRT's Amended Motion for Relief from Orders Concerning Reorganized Debtors' Eleventh and Thirteenth Securities Claims Omnibus Objections (Claims Barred by the Statute of Repose)
April 22, 2022	12209	Notice of Appeal and Statement of Election to Have Appeal Heard by District Court
April 22, 2022	12216	Corrected Order Granting DRRT's Amended Motion for Relief from Orders Concerning Reorganized Debtors' Eleventh and Thirteenth Securities Claims Omnibus Objections (Claims Barred by the Statute of Repose)

Dated: May 6, 2022

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KELLER BENVENUTTI KIM LLP

By: /s/ Richard W. Slack
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DRRT CLAIMANTS

UNITED STATES BANKRUPTCY COURT

NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO DIVISION

In re

PG&E CORPORATION,

- and -

PACIFIC GAS AND ELECTRIC
COMPANY,

Debtors.

- ☐ Affects PG&E Corporation
☐ Affects Pacific Gas and Electric Company
☒ Affects Both Debtors

* All papers shall be filed in Lead Case 19-30088-DM

BK Case No.: 19-30088-DM (Lead Case)
(Jointly Administered)

Chapter 11

**APPELLEE'S DESIGNATION
OF RECORD ON APPEAL**

Appellee DRRT as the duly appointed claims filing representative for individual claimants Bayerninvest Kapitalverwaltungsgesellschaft MBH, Credit Suisse Funds AG, Deka Investment GMBH, Giam Generali Insurance Asset Management, Helaba Invest Kapitalanlagegesellschaft MBH, Internationale Kapitalanlagegesellschaft MBH, Internationale Kapitalanlagegesellschaft MBH, Kaiser Permanente, Metzler Asset Management GMBH, Swiss Reinsurance Company Ltd., UBS Fund Management (Switzerland) AG, UBS Fund Management Luxembourg S.A., Meag Munich Ergo Kapitalanlagegesellschaft MBH, (collectively, the “DRRT Claimants”) hereby designate the following items to be included in the record on appeal, each of which includes any and all exhibits and addenda attached thereto and filed therewith and any and all documents incorporated by reference therein:

Docket Number	Filing Date	Pleading Description
11168	8/31/2021	Response and Opposition to Reorganized Debtors’ Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
11169	8/31/2021	Declaration of Richard A. Bodnar in opposition of Reorganized Debtors’ Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
11170	8/31/2021	Response /Opposition to Reorganized Debtors’ Eleventh Securities Claims Omnibus Objection (Claims Barred By The Statute of Repose)
11207	9/8/2021	Response to Reorganized Debtors’ Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
11208	9/8/2021	Declaration of Jeremy C. Kleinman in Support of PepsiCo, Inc. Master Trust's Response to Reorganized Debtors’ Eleventh Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
11209	9/8/2021	Joinder Vanguard Funds’ Joinder in Response and Opposition to Reorganized Debtors' Eleventh Securities Claim Omnibus Objection (Claims Barred By the Statute of Repose)
11246	9/13/2021	Opposition re: Reorganized Debtors’ Thirteenth Securities Claims Omnibus Objection (RE: related document(s) 11085 Objection)
11260	9/15/2021	Joinder and Statement in Opposition to Reorganized Debtors' Thirteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
11339	9/28/2021	Omnibus Objection to Securities Claims (Fourteenth) (Claims

		Barred by the Statute of Repose)
11340	9/28/2021	Declaration of Edward J. Radetich, Jr. in Support of Reorganized Debtors' Fourteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
11341	9/28/2021	Declaration of Michael A. Keable in Support of Reorganized Debtors' Fourteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
11342	9/28/2021	Notice of Hearing on Reorganized Debtors' Fourteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose) (RE: related document(s) 11339 Omnibus Objection to Securities Claims (Fourteenth) (Claims Barred by the Statute of Repose)
11400	10/12/2021	Statement of Reorganized Debtors' Report on Resolution of Eleventh Securities Claims Omnibus Objection (Claims Barred By the Statute of Repose) With Respect to Certain Claims
11401	10/12/2021	Statement of Reorganized Debtors' Report on Resolution of Thirteenth Securities Claims Omnibus Objection (Claims Barred By the Statute of Repose) With Respect to Certain Claims
11439	10/18/2021	Amended Statement of Reorganized Debtors' Report on Resolution of Eleventh Securities Claims Omnibus Objection
11466	10/22/2021	Response Horizon Blue Cross Blue Shield Of NJ Employees Retirement Plans Response To Reorganized Debtors Fourteenth Securities Claims Omnibus Objection (Claims Barred By The Statute Of Repose)
11468	10/22/2021	Response by Blue Cross Blue Shield of North Carolina to Reorganized Debtors' Fourteenth Securities Claim Omnibus Objection
11495	10/26/2021	Response by Dennis Brawford to Reorganized Debtors' Fourteenth Securities Claim Omnibus Objection
11498	10/27/2021	Brief/Memorandum in Opposition to Motion for Leave to File Late and Opposition to Reorganized Debtors Eleventh, Thirteenth, and Fourteenth Securities Claims Omnibus Objections (Claims Barred by the Statute of Repose)
11516	11/2/2021	Request for Entry of Default Re: Unopposed Objections to Fourteenth Securities Omnibus Objection and Report on Responses Thereto
11518	11/2/2021	Notice of Continued Hearing With Respect to One Claim in the Reorganized Debtors' Fourteenth Securities Claims Omnibus Objection (Claims Barred By the Statute of Repose)
11530	11/4/2021	Order Disallowing and Expunging Proofs of Claim Pursuant to Reorganized Debtors' Fourteenth Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose)
11588	11/16/2021	Statement of Reorganized Debtors' Report on Resolution of Fourteenth Securities Claims Omnibus Objection (Claims

		Barred By the Statute of Repose) With Respect to the One Remaining Claim Subject to the Objection
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Dated: May 20, 2022

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NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO DIVISION

In re

PG&E CORPORATION,

- and -

PACIFIC GAS AND ELECTRIC
COMPANY,

Debtors.

- ☐ Affects PG&E Corporation
☐ Affects Pacific Gas and Electric Company
☒ Affects Both Debtors

* All papers shall be filed in Lead Case 19-30088-DM

BK Case No.: 19-30088-DM (Lead Case)
(Jointly Administered)

Chapter 11

CERTIFICATE OF SERVICE

1 I, Brent D. Meyer, hereby declare as follows:

2
3 I am an active member of the State Bar of California and I am not a party to the above-
4 captioned action; my business mailing address is 268 Bush Street #3639, San Francisco,
5 California, 94104.

6 On May 20, 2022, I served copies of the following document(s):

7 **APPELLEE'S DESIGNATION OF RECORD ON APPEAL**

8 in the following manner on the parties listed below:

9 ☐ **BY FEDERAL EXPRESS:** I enclosed said document(s) in an envelope or package
10 provided by Federal Express and addressed to the persons at the address(es) listed below.
11 I placed the envelope or package for collection and overnight delivery at an office or a
12 regularly utilized drop box of Federal Express or delivered such document(s) to a courier
13 or driver authorized by Federal Express to receive documents.

14 ☐ **BY FIRST CLASS MAIL:** Pursuant to **Federal Rule of Bankruptcy Procedure 7004(b)**,
15 I enclosed said document(s) in a sealed envelope addressed to the persons at the
16 address(es) listed below, placed first class postage fully prepaid thereon, and deposited
17 said envelope in a United States mailbox.

18 ☐ **BY CERTIFIED MAIL:** Pursuant to **Federal Rule of Bankruptcy Procedure 7004(h)**, I
19 enclosed said document(s) in a sealed envelope addressed to the persons at the
20 address(es) listed below, transported said envelope to a United States Post Office, placed
21 first class postage fully prepaid thereon with certified and return receipt requested, and
22 deposited said envelope with an agent of the United States Postal Service. The Certified
23 Mail Receipt Number for each party served with the document(s) is as specified below.

24 ☒ **BY NOTICE OF ELECTRONIC FILING (NEF):** Pursuant to Bankruptcy Local Rule
25 9013-3(c), service of the document(s) was also effective on the following persons whom
26 are registered participants of the Court's CM/ECF system, consented to electronic
27 service, and received an electronic copy of the document(s) by the Clerk of the Court via
28 Notice of Electronic Filing.

SEE ATTACHED LIST

I declare under penalty of perjury under the laws of the United States of America that the
forgoing is true and correct.

Executed on this 20th day of May, 2022 at Alamo, California.

/s/ BRENT D. MEYER
Brent D. Meyer

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